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28-29-0

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

50 (b) (5) for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.	IN-5475
First Named Inventor	BRADFORD et al.
Filing Date	Tuesday, August 28, 2001
Title	DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME
Express Mail Certificate	EX1570M2045US

#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

08/28/01  
09/940748  
1000 U.S. PRO

<p><input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b> (Submit an original and a duplicate for fee processing)</p> <p><b>2. <input type="checkbox"/> Applicant claims small entity status.</b> See 37 CFR 1.27.</p> <p><b>3. <input checked="" type="checkbox"/> Specification</b> <b>[Total Pages <u>40</u> ]</b></p> <ul style="list-style-type: none"> <li>-preferred arrangement set forth below)</li> <li>-Descriptive title of the invention</li> <li>-Cross References to Related Application</li> <li>-Statement Regarding Fed sponsored R &amp; D</li> <li>-Reference to Microfiche Appendix</li> <li>-Background of the Invention</li> <li>-Background of the Invention</li> <li>-Background of the Invention</li> <li>-Brief Summary of the invention</li> <li>-Brief Description of the Drawings (if filed)</li> <li>-Detailed Description</li> <li>-Claim(s)</li> <li>-Abstract of the Disclosure</li> </ul> <p><b>4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113)</b> <b>[Total Sheets <u>1</u> ]</b></p> <p><b>5. <input type="checkbox"/> Oath or Declaration</b> <b>[Total Sheets <u>1</u> ]</b></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting</p> <p><b>6. <input type="checkbox"/> Application Data Sheet</b> See 37 CFR 1.76.</p>	<p><b>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b></p> <p><b>8. Nucleotide and/or Amino Acid Sequence Submission</b> (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b></p> <p>b. <b>Specification Sequence Listing on:</b></p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> <b>Statements verifying identity of above copies</b></p>
<p><b>ACCOMPANYING APPLICATION PARTS</b></p>	
<p>9. <input type="checkbox"/> <b>Assignment Papers</b> (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> <b>37 CFR§3.73(b) Statement</b> <input type="checkbox"/> <b>Power Of Attorney</b> (when there is an assignee)</p> <p>11. <input type="checkbox"/> <b>English Translation Document</b> (if applicable)</p> <p>12. <input checked="" type="checkbox"/> <b>Information Disclosure Statement (IDS)/ PTO-1449</b> <input checked="" type="checkbox"/> <b>Copies of Citations</b></p> <p>13. <input type="checkbox"/> <b>Preliminary Amendment</b></p> <p>14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> <b>other:</b> .....</p>	

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment.

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 0 filed on

**Prior application information:** **Examiner:** \_\_\_\_\_ **Group/Art Unit:** \_\_\_\_\_

or CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been adequately clarified, refined, or corrected for the continuation or divisional application.

#### 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label



or  Correspondence address below

Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary E. Colota			Registration No. (Attorney Agent)	36,814
Signature				Date	August 28, 2001

**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997

Attorney Docket No.	IN-5475
First Named Inventor	BRADFORD et al.
Filing Date	Tuesday, August 28, 2001
Title	DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME
Express Mail Certificate	EK157042045US

**TOTAL AMOUNT OF PAYMENT** \$800.00**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number 23-3425

Deposit Account Name BASF Corporation

Change Any Additional Fee  Charge the Issue Fee Set in 37 CFR § Required Under 37 CFR §§ 1.18 at the Mailing of the Notice of 1.16 and 1.17 Allowance

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code Entity Fee (\$)

101	710	Utility filing fee	\$710.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$710.00

**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	25	-20** = 05	x 18	\$90.00
Independent				
Claims	01	- 3** = 0	x 80	
Multiple				
Dependent			270	
SUBTOTAL (2)			(\$90.00)	

\*\* Or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Fee	Fee	Fee	Fee
103	18	Claims in excess of 20		
102	80	Independent claims in excess of 3		
104	270	Multiple dependent claims, if not paid		
109	82	**Reissue independent claims over original patent		
110	22	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)			(\$90.00)	

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or  Correspondence address below

PATENT TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)			36,814
Signature		Date	August 28, 2001		

PATENT

(Practitioner's Docket No. IN-5475)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

BRADFORD et al.

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: August 28, 2001

Examiner: Not Assigned

For: DUAL CURE COATING COMPOSITION AND PROCESSES FOR USING THE SAME

Assistant Commissioner of Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **EK157042045US** Date of Deposit **August 28, 2001**

I hereby certify that the following attached paper or fee

Information Disclosure Statement  
 From PTO-1449  
 Cited References

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

  
Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

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EXPRESS MAIL CERTIFICATE

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I hereby certify that the following attached paper or fee

Application  
Specification on **36** pages; claims on **03** pages;  
the abstract on **01** page(s); drawings on **0** page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

  
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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention

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